Phase Unwrapping using Chebyshev Polynomials

E. de la Rosa Miranda^{*a*}, E. Gonzalez-Ramirez^{*a*}, J. G. Arceo-Olague^{*a*}, J. J. Villa-Hernández^{*a*}, Ismael de la Rosa Vargas^{*a*}, Tonatiuh Saucedo Anaya^{*b*}, L. R. Berriel-Valdos^{*c*}, and N. Escalante^{*a*}

 ^aUnidad Académica de Ingeniería Eléctrica, Universidad Autónoma de Zacatecas, Antiguo Camino a la Bufa No. 1, Col. Centro. C. P. 98000, Zacatecas, Zac. México
 ^bUnidad Académica de Física, Universidad Autónoma de Zacatecas, Antiguo Camino a la Bufa No. 1, Col. Centro. C. P. 98000, Zacatecas, Zac. México
 ^cDepartamento de Óptica, Instituto Nacional de Astrofísica, Óptica y Electrónica, Luis Enrique Erro No. 1, Santa María Tonantzintla, San Andrés Cholula, C.P. 72840, Puebla, México

ABSTRACT

Phase unwrapping is an intermediate step for interferogram analysis. The phase associated with an interferogram can be estimated using a curve mesh of functions. Each of these functions can be approximated by a linear combination of basis functions. Chebyshev polynomials in addition to being a family of orthogonal polynomials can be defined recursively. In this work a method for phase unwrapping using Chebyshev polynomials is proposed. Results show good performance when applied to synthetic images without noise and also to synthetic images with noise.

Keywords: Phase unwrapping, interferometry, curve fitting.

Interferometry is a set of methods widely used to measure physical magnitudes such as deformation, stress, temperature, etc.^{1,2} in a non destructive and non invasive way. These magnitudes modulate a fringes pattern called interferogram which contains information of a system been studied or analyzed. A processing of the interferogram is necessary to recover this information.

Standard techniques for phase recovery such as Fourier based,³ phase stepping⁴ or regularization,^{5–7} provide a non-continuous phase wrapped in the interval $(-\pi, \pi]$. This phase needs to be unwrapped as a step to carry out the measure process of physical magnitudes. It is common to find phase inconsistences or noise that can make the unwrapping process a difficult task. The application of path dependent algorithms⁸ improves the unwrapping process but does not always provide reliable results. A robust alternative for many cases is the least-squares, described in matrix form by Hunt.⁹ Other robust algorithm to find a solution in the presence of path-integral phase inconsistencies, by using the cosine transform, is that proposed by Ghiglia and Romero.¹⁰ The methods above represent long processing time and computational complexity that make them inconvenient for many practical applications. When the phase is smooth, the time of processing can be shortened solving the phase unwrapping problem by using a linear combination basis functions,¹¹²⁰. In this paper we propose a method to unwrap phase using a linear combination of First Order Chebyshev Polynomials. The weights are described in a typical matrix formulation allowing the matrix inversion using direct methods.¹²

- E. González-Ramiréz: gonzalez_efren@hotmail.com
- J. G. Arceo-Olague: arceojg@hotmail.com

8th Iberoamerican Optics Meeting and 11th Latin American Meeting on Optics, Lasers, and Applications, edited by Manuel Filipe P. C. Martins Costa, Proc. of SPIE Vol. 8785, 8785BA © 2013 SPIE · CCC code: 0277-786X/13/\$18 · doi: 10.1117/12.2025518

Further author information:

L. R. Berriel-Valdos: berval@inaoep.mx, Telephone: +52 222 26 31 00 - Ext 1220

2. RELATIONSHIP BETWEEN WRAPPED AND UNWRAPPED PHASES

Let φ_r and ϕ_r the wrapped and the unwrapped phase respectively, where r = (x, y) is the vector in a discrete grid, the relationship between these two phases is

$$\varphi_r = \mathcal{W}\{\phi_r\} = \phi_r + 2\pi k_r \tag{1}$$

where \mathcal{W} represents the wrapping operator and k_r a field of integers such that $\mathcal{W}\{\phi_r\} \in (-\pi, \pi]$. The value of φ_r represents the observed phase (wrapped) and ϕ_r the real unknown phase (unwrapped) to be determined. The phase discrete gradient field, $\Delta \varphi_r$, is defined as

$$\nabla \varphi_r = (\varphi_r - \varphi_s, \varphi_r - \varphi_t) \tag{2}$$

where s = r - (1, 0) and t = r - (0, 1) are contiguous horizontal and vertical sites respectively. We can also define the unwrapped discrete gradient field as $\nabla \phi_r = (\phi_r - \phi_s, \phi_r - \phi_t)$. If the sampling theorem is fulfilled for these two discrete phase fields, the problem of the recovery ϕ_r from φ_r can be properly solved. The sampling theorem establishes that the distance between two fringes must be more than two pixels (the phase difference between two fringes is 2π). For phase, the sampling theorem is reached if the phase difference between two pixels is less than π . This is

$$\|\nabla\phi_r\| < \pi. \tag{3}$$

If this condition is satisfied, we can establish:

$$\nabla \phi_r = \mathcal{W} \{ \nabla \varphi_r \} = (\mathcal{W} \{ \varphi_r - \varphi_s \}, \mathcal{W} \{ \varphi_r - \varphi_t \})$$
(4)

 $\mathcal{W}\{\nabla\varphi_r\}\$ can be obtained from the observed field. From this equation, we see that ϕ_r can be achieved by two-dimensional integration of the vector field $\mathcal{W}\{\nabla\varphi_r\}$. This can be carried out by using a least-squares approach.^{13–15}

3. FIRST ORDER CHEBYSHEV POLYNOMIALS FOR PHASE UNWRAPPING

3.1 Basis functions for function approximation

Any function can be approximated by a linear combination of n basis functions. Let $U = [a, b] \in \mathbb{R}$ and $\hat{f}(x)$ an approximation of a function $f: U \mapsto \mathbb{R}$ using a set of basis functions T(i, x), then

$$\hat{f}(x) = \sum_{i=0}^{n} w_i T(i, x) = \mathbf{T} \mathbf{w}$$
(5)

where $\mathbf{T} = [T(0,x) \mid T(1,x) \mid \cdots \mid T(n,x)]$ y $\mathbf{w} = [w_0 \ w_1 \ \cdots \ w_n]$. Minimizing the norm of the residual ρ ,

$$\|\rho\| = \min_{\mathbf{w}} \|f(x) - \hat{f}(x)\|$$
(6)

we obtain the optimal \mathbf{w}^* . Figure 1 shows two approximations for a test function using first order Chebyshev Polynomials.

3.2 Curve mesh

A surface can be considered as a mesh of functions (a net of interconnected functions). Several ways for surface representation using function of two independent variables from a mesh of 2D functions have been proposed $^{16-19}$

A curve mesh M (which it is a set of all 2D functions in $[a, b] \times [c, d] \in \mathbb{R}^2$) can be expressed as:

$$M = \bigcup_{j=1}^{n} \{ (x, y_j, g_j(x)) | x \in [a, b] \} \cup \bigcup_{i=1}^{m} \{ (x_i, y, h_i(y)) | y \in [c, d] \}$$
(7)

Figure 2 shows a curve mesh where a patch is created by using Poisson Equation (it is also possible by using any other similar method)

Proc. of SPIE Vol. 8785 8785BA-2



Figure 1. Function approximation using first order Chebyshev Polynomials.



Figure 2. Function curve mesh representation.

3.3 Curve mesh for phase unwrapping

Let $\phi: V \mapsto \mathbb{R}$ a wrapped phase map and M its approximation by using a curve mesh, then

$$\phi(x_i, y) \approx h_i(y), \ \phi(x, y_j) \approx g_j(x) \tag{8}$$

where $h_i(y) \ge g_j(x)$ are approximated by Chebyshev polynomias. The unwrapped phase map, ϕ , is obtained by using

$$\min_{\mathbf{w}_{i}^{x}, \mathbf{w}_{j}^{y}} \|\rho\|^{2} = \sum_{j=0}^{n} \|\mathcal{W}[\Delta_{x}\varphi(x, y_{j})] - \Delta_{x}\hat{\phi}(x, y_{j})\|^{2} + \sum_{i=0}^{m} \|\mathcal{W}[\Delta_{y}\varphi(x_{i}, y)] - \Delta_{y}\hat{\phi}(ix, y)\|^{2} \quad s.t. \ h_{i}(y) = g_{j}(x)$$
(9)

where \mathbf{w}_i^x , and \mathbf{w}_j^y are optimal for the linear combination of Chebyshev polynomials for functions $g_j(x)$ and $h_i(y)$, respectively.

4. RESULTS

The proposed method was applied to synthetic phase map with and without noise. For each function, $g_j(x)$ and $h_i(y)$, 21 Chebyshev polynomials were used (from degree n = 0 to degree n = 20). Curve mesh, M, is formed by 50 horizontal and 50 vertical lines. Figure 3 shows the synthetic test wrapped phase map and its reconstruction (which is wrapped for comparison purposes). Figure shows the reconstruction but now for a synthetic noisy wrapped phase map.



Figure 3. Noiseless synthetic phase map (a) wrapped (b) approximated phase map by using Chebyshev polynomials.

5. CONCLUSIONS

We have presented an algorithm based on Chebyshev polynomials as basis functions to recover the phase from a wrapped phase map. This algorithm has good visual performance for smooth phase maps even with low level of noise. The sampling of the phase and the recuersive computation of Chebyshev polynomials allow decrease both the processing time and the memory resource required to unwrapped the phase.



Figure 4. Noisy synthetic phase map (a)wrapped (b) phase map approximated by Chebyshev polynomials.

Acknowledgements

We want to thank the Universidad Autónoma de Zacatecas, the National Institute of Astrophysics, Optics and Electronics, PIFI 2012, FOMIX ZAC-2010-CO4-149908 and FOMIX ZAC-2011-C01-172823 for all the support for this work.

REFERENCES

- 1. C. Vest, Holographic Interferometry, John Wiley & Sons, New York, 1979.
- 2. K. Gasvik, Optical Metrology, Wiley, New York, 1987.
- M. Takeda, H. Ina, and S. Kobayashi, "Fourier-Transform Method of Fringe-Pattern Analysis for Computer-Based Topography and Interferometry," *Journal of Optical Society of America A* 72, pp. 156–159, 1981.
- D. Malacara, M. Servín, and Z. Malacara, *Interferogram Analysis for Optical Testing*, Marcel-Dekker, Inc., New York, 1998.
- J. Villa, I. d. G. Miramontes, and J. A. Quiroga, "Phase recovery from a single fringe pattern using an orientational vector field regularized estimator," *Journal of Optical Society of America A* 22, pp. 2766– 2773, 2005.
- L. Guerriero, G. Nico, G. Pasquariello, and S. Stramaglia, "New regularization scheme for phase unwrapping," Applied Optics 37(14), pp. 3053–3058, 1998.
- M. Rivera and J. Marroquin, "Half-quadratic cost functions for phase unwrapping," Optics Letters 29(5), pp. 504–506, 2004.
- 8. B. Ströbel, "Processing of interferometric phase maps as complex-valued phasor images," *Applied Optics* **35**, pp. 2192–2198, 1996.
- B. Hunt, "Matrix Formulation of the Reconstruction of Phase Values from Phase Differences," Journal of Optical Society of America A 69(3), pp. 393–399, 1979.
- D. Ghiglia and L. Romero, "Robust Two-Dimensional Weighted and Unweighted, Phase Unwrapping for Uses Fast Transform and Iterative Methods," *Journal of Optical Society of America A* 11, pp. 107–117, 1994.

- JesúsVilla Hernández, I. de la Rosa Vargas, and E. de la Rosa Miranda, "Radial Basis Functions for Phase Unwrapping," Computación y Sistemas 14, pp. 145–150, 2009.
- 12. G. Golub and C. V. Loan, Matrix Computations, The John Hopkins University Press, Third ed., 1996.
- 13. V. Lyuboshenko, H. Mâtre, and A. Maruani, "Least-Mean-Squares Phase Unwrapping by Use of an Incomplete Set of Residue Branch Cuts," *Applied Optics* **41**, pp. 2129–2148, 2002.
- 14. Y. Lu, X. Wang, and X. Zhang, "Weighted least-squares phase unwrapping algorithm based on derivative variance correlation map," *Optik* **118**(2), pp. 62–66, 2007.
- S. Kim and Y. Kim, "Least Squares Phase Unwrapping in Wavelet Domain," Vision, Image and Signal Processing, IEE Proceedings 152(3), pp. 261–267, 2005.
- 16. L. Bos, J. Grabenstetter, and K. Salkauskas, "Pseudo-tensor product interpolation and blending with families of univariate schemes," *Computer Aided Geometric Design* **13**(5), pp. 429 – 440, 1996.
- P. Costantini and C. Manni, "A bicubic shape-preserving blending scheme," Computer Aided Geometric Design 13(4), pp. 307 – 331, 1996.
- T. A. Foley and H. S. Ely, "Surface interpolation with tension controls using cardinal bases," Computer Aided Geometric Design 6(2), pp. 97 – 109, 1989.
- X. Ye, "Curvature continuous interpolation of curve meshes," Computer Aided Geometric Design 14(2), pp. 169 – 190, 1997.
- J. Arines, "Least-squares modal estimation of wrapped phases: Application to phase unwrapping," Applied Optics 42(17), pp. 3373–3378, 2003.